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Course outline

- Introduction
- Images and display techniques
 - Bases
 - Gamma correction
 - Aliasing and techniques to remedy
 - Storage





Course outline

- 3D Perspective & 2D / 3D transformations
 - Go from a 3D space to a 2D display device
- Two paradigms for image synthesis
- Representation of curves and surfaces
 - Splines & co.
 - Meshes
- Realistic rendering by ray tracing
 - Concepts and theoretical bases





Representation of curves and surfaces





Curves

- Interpolation
 - Cubic Splines
- Approximation
 - Bézier Curves
 - B-spline Curves

Surfaces

- Interpolation
 - Coons Patches
- Approximation
 - B-splines Surfaces
 - Subdivision Surfaces





Two useful references...

JC.Léon, Modélisation et construction de surfaces pour la CFAO, Hermes, 1991

L. Piegl, W. Tiller, The NURBS Book, Second Edition, Springer, 1996





Cubic Splines





- Goal :
 - Interpolate points (control points)
 - Go through points (interpolation ≠ approximation)
 - Ensure a certain regularity to the curve
 - Simple (easy) to compute





- Let start with the idea that we have many control points
 - Lagrange interpolation ?
 - This corresponds to a unique polynomial interpolating every control points (*d*+1)
 - C_{∞} Continuity

$$P(u) = \sum_{i=0}^{d} P_i L_i^d(u)$$







- Motivation
 Let us imagine that we have many (100's of) control points
 - But we don't want a Lagrange interpolation !
 - We should stay with a low order scheme but conserve enough freedom to pass through every point
 - Curve defined by pieces ... and of low order (1)







Splines

 We are going to build a low order interpolation for each knot interval, such that we can impose slopes at the knots.







- In each range [i,i+1], we want to have an independent polynomial
- We have 4 parameters : position at each knot and associated tangents.
 - The basis must have 4 degrees of freedom, thus be of order 3 in the case of polynomials.

$$P(u_i) = P_i \equiv \begin{cases} x(u_i) = x_i \\ y(u_i) = y_i \end{cases}$$

$$x_{[i]}(u) = A_{[i]0} + A_{[i]1}u + A_{[i]2}u^2 + A_{[i]3}u^3$$
, $u \in [u_i, u_{i+1}]$





Splines

• First, every interval has a unit length i.e.

 $u_{i+1} - u_i = 1$

 Then we ensure identical intervals [0...1] between each interpolation point :

$$\overline{u} = \frac{u - u_i}{u_{i+1} - u_i} = u - u_i \qquad \frac{d \,\overline{u}}{du} = 1$$

On each interval *i*, we thus have the following relation:

$$x_{[i]}(\bar{u}) = a_{[i]0} + a_{[i]1}\bar{u} + a_{[i]2}\bar{u}^2 + a_{[i]3}\bar{u}^3 \quad , \ \bar{u} \in [0,1]$$







- We pass through both control points:
- $$\begin{split} P(\bar{u}_{0}=0) &= P_{i} \Leftrightarrow a_{[i]0} + a_{[i]1} \bar{u}_{0} + a_{[i]2} \bar{u}_{0}^{2} + a_{[i]3} \bar{u}_{0}^{3} = x_{i} \\ P(\bar{u}_{1}=1) &= P_{i+1} \Leftrightarrow a_{[i]0} + a_{[i]1} \bar{u}_{1} + a_{[i]2} \bar{u}_{1}^{2} + a_{[i]3} \bar{u}_{1}^{3} = x_{i+1} \\ \bullet \text{ We impose both slopes :} \end{split}$$
- $P'(\bar{u}_{0}=0)=P'_{i} \Leftrightarrow a_{[i]1}+2a_{[i]2}\bar{u}_{0}+3a_{[i]3}\bar{u}_{0}^{2}=x'_{i}$ $P'(\bar{u}_{1}=1)=P'_{i+1} \Leftrightarrow a_{[i]1}+2a_{[i]2}\bar{u}_{1}+3a_{[i]3}\bar{u}_{1}^{2}=x'_{i+1}$
 - At the end :

$$\begin{cases} a_{[i]0} = x_i \\ a_{[i]1} = x'_i \\ a_{[i]2} = 3(x_{i+1} - x_i) - 2x'_i - x'_{i+1} \\ a_{[i]3} = 2(x_i - x_{i+1}) + x'_i + x'_{i+1} \end{cases}$$





- We have continuity
- We have continuity of the derivatives
- But how to choose the slopes ?
 - Let the user choose ("artistic" freedom)
 - Automatically ...







Splines

By finite differences with three points :

$$x_{i}^{'} = \frac{x_{i+1} - x_{i}}{2(u_{i+1} - u_{i})} + \frac{x_{i} - x_{i-1}}{2(u_{i} - u_{i-1})}$$

At the boundaries, we use finite differences (asymmetric)

$$x'_{0} = \frac{x_{1} - x_{0}}{u_{1} - u_{0}} \qquad x'_{n-1} = \frac{x_{n-1} - x_{n-2}}{u_{n-1} - u_{n-2}}$$

- The result depends on the parametrization !
- Cardinal spline $x_i = (1-c) \frac{x_{i+1} - x_{i-1}}{2}$, $0 \le c \le 1$ $x_{n-1} = (1-c)(x_1 - x_0)$
 - *c* is a « tension » parameter. *c*=0 gives yields the so called "Catmull-Rom" spline, *c*=1 a zigzagging line.





Splines



5 points, finite differences by varying the parametrization [0..1], [0..2], [0..5], [0..10]





Splines



5 points, Cardinal Spline (Catmull-Rom) c=0





Splines

Catmull-Rom Splines are widely used in computer graphics

- Simple to compute, effective
- Local control (price to pay : discontinuous sec^d derivative)
- Animations with keyframing
 - Ensures a fluid motion because of the continuity of the slope







Splines



5 points, Cardinal Spline *c*=0.25





Splines



5 points, Cardinal Spline *c*=0.5





Splines



5 points, Cardinal Spline *c*=0.75





Splines



5 points, Cardinal Spline c=1.0





Splines

- We can impose the continuity of second derivatives...
 - On a curve with *n* points, we have *n* extra relations to impose
 - We may impose the continuity of the second derivative only on the *n*-2 interior knots

What about the 2 points on the boundary ?

- Impose a vanishing second derivative.
 We obtain what is called « natural spline »
- We could also impose the slopes (i.e. only *n*-2 relations remaining)
- Or , impose that the third derivative is zero on the points 1 and *n*-2
 - That means a single polynomial expression for the first two knot intervals, and the last two.





Splines

 Natural Spline : mathematical approximation of the spline historically used in naval construction.







Splines



Boeing





Splines

We impose the continuity of the second derivatives

$$x_{[i-1]}^{"}(1) = x_{[i]}^{"}(0) \Leftrightarrow 2a_{[i-1]2} + 6a_{[i-1]3} = 2a_{[i]2}$$

We substitute in the "internal" equations

$$2[3(x_{i}-x_{i-1})-2x_{i-1}'-x_{i}']+6[2(x_{i-1}-x_{i})+x_{i-1}'+x_{i}']$$

=2[3(x_{i+1}-x_{i})-2x_{i}'-x_{i+1}']

• Finally we obtain :

$$\dot{x_{i-1}} + 4 \dot{x_i} + \dot{x_{i+1}} = 3 (x_{i+1} - x_{i-1})$$





Splines

At the boundaries we want

$$\begin{array}{l} x_{[0]}^{"}(0) = 0 \Leftrightarrow 2a_{[0]2} = 0 & 2x_{0}^{'} + x_{1}^{'} = 3(x_{1} - x_{0}) \\ x_{[n-2]}^{"}(1) = 0 \Leftrightarrow 2a_{[n-2]2} + 6a_{[n-2]3} = 0 & x_{n-2}^{'} + 2x_{n-1}^{'} = 3(x_{n-1} - x_{n-2}) \end{array}$$

• We have then a linear system with *n* unknowns :

$$\begin{vmatrix} 2 & 1 & & & \\ 1 & 4 & 1 & & \\ & 1 & 4 & 1 & \\ & & \ddots & & \\ & & 1 & 4 & 1 \\ & & & 1 & 2 \\ \end{vmatrix} \begin{vmatrix} x_0 \\ x_1 \\ x_2 \\ \vdots \\ x_{n-2} \\ x_{n-1} \end{vmatrix} = \begin{vmatrix} 3(x_1 - x_0) \\ 3(x_2 - x_0) \\ 3(x_3 - x_1) \\ \vdots \\ 3(x_{n-1} - x_{n-3}) \\ 3(x_{n-1} - x_{n-2}) \end{vmatrix}$$



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X

Computer Graphics



Splines

By solving the system, we have :

$$\begin{vmatrix} x_{0} \\ x'_{1} \\ \dot{x}_{2} \\ \vdots \\ \dot{x}_{n-2} \\ \dot{x}_{n-1} \end{vmatrix}$$
, which is substituted in
$$\begin{cases} a_{[i]0} = x_{i} \\ a_{[i]1} = x'_{i} \\ a_{[i]2} = 3(x_{i+1} - x_{i}) - 2x'_{i} - x'_{i+1} \\ a_{[i]3} = 2(x_{i} - x_{i+1}) + x'_{i} + x'_{i+1} \end{cases}$$

, to get the polynomial in each portion :

$$x_{[i]}(\bar{u}) = a_{[i]0} + a_{[i]1}\bar{u} + a_{[i]2}\bar{u}^2 + a_{[i]3}\bar{u}^3 , 0 \le \bar{u} < 1$$

From the global parameter *u*, we have to find in which portion we are (the value of *i*), then compute right polynomial...











- An experiment
 - We approximate a circle by a number of increasing points
 - Simultaneously, the order of the approximation the number of pieces increases.
 - In all the cases, the curve is $C_{\infty} C_2$





Splines



3 points, order 3!





Splines



5 points



11 points





Splines



21 points




Splines

- Random perturbation
 - Each point is moved radially by a value between -0.5 and +0.5 % of the circle's radius







Splines









Splines







Splines







Splines

- Deterministic perturbation
 - Each point is shifted radially depending on its position by -5 or +5 % of the circle's radius







Splines

















Splines

- Perturbation of a point
 - We shift one point by a significant amount







Splines







Splines







Splines







Splines

- Stable interpolation scheme
- Weak Runge phenomenon
- The displacement of a point yet affects all the curve
 - Nevertheless, the perturbation fades very quickly further away from the shifted point
 - « Overshoots » are limited.





Splines

- Closed curve ?
 - The curve can be closed, just impose everywhere that the second derivative is continuous.







Splines

Instead of

$$x_{[0]}^{"}(0) = 0 \Leftrightarrow 2a_{[0]2} = 0$$

 $x_{[n-2]}^{"}(1) = 0 \Leftrightarrow 2a_{[n-2]2} + 6a_{[n-2]3} = 0$





Splines

- 3D Curves
 - Minimal order so that a curve can have a torsion (non planar curve)
 - Let's consider a Lagrange interpolation
 - 2 points \rightarrow on a straight line (no curvature)
 - 3 points \rightarrow in a plane (no torsion)
 - 4 points \rightarrow torsion becomes possible
 - Minimal order to join smoothly two arbitrarily oriented curves = 3

$$P(u) = \sum_{i=0}^{n-1} P_i l_i^p(u)$$





Bézier curves





Bézier curves

Bézier curves

- Pierre Bézier (1910-1999)
- Develops UNISURF first surface modelling software at Renault's (1971)



 Publicizes the theory under his name in 1962... however, the principle was discovered in 1959 by Paul de Casteljau (at Citroën's) ! Because of the "culture of secret" at Citroën, De Casteljau will have his works recognized only in 1975.





Bézier curves

- Use of Bézier curves :
 - Postscript fonts (cubic Bézier) & TrueType (quadratic Bézier)

AaBbCc

- Computer graphics
- In geometrical modelling and CAD, they tend to be replaced by more general techniques (NURBS)





Bézier curves

- Modelling by interpolation is not very practical
 - We seldom have interpolation points at our hand
 - Instead, we hope to define these points as the result of a modeling process instead of as an input data
 - Approximation gives more freedom in the design of the curve





Bézier curves

Elements of a Bézier curve :



For Bézier curves, the notion of knot is trivial :

$$u_0 = 0$$
 $u_1 = 1$





Bézier curves

- Characteristics of Bézier curves
 - More freedom than interpolation
 - Any degree
 - Precise control of the curve's shape
 - Numerical stability even with high degree (not as Lagrange !) $P(u) = \sum_{i=0}^{d} P_i B_i^d(u)$ The $D_i^{d}(u)$
 - The $B_i^d(u)$ are Bernstein polynomials (Sergei N. Bernstein, 1880-1968 don't mistake for Leonard Bernstein...:) :
 - They form a complete polynomial basis
 - They are a partition of the unity
 - We sometimes call them blending functions
 - The curve is described as one polynomial (unlike splines)





Bézier curves

Bernstein polynomials

$$B_i^d(u) = \begin{pmatrix} d \\ i \end{pmatrix} u^i (1-u)^{d-i}$$

inomial coefficients





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Bézier curves

Binomial coefficients : computed with Pascal's triangle







Bézier curves

Bernstein polynomials



By design, they form a partition of unity...





Partition of unity

- Property of affine invariance
 - It is a useful property that the curves we define for a set of control points can undergo linear affine transformations.
 - Let P_i^* the affine transformation of the control points P_i
 - Let $P^*(P_i)$ the affine transformation of the points of the curve $P(P_i)$ defined from the original points P_i
 - Let $P(P_i^*)$ the new curve based on the modified control points P_i^* , with the same parametrization.
 - The affine invariance is verified iff P*(P_i) = P(P_i*) for all u.





Partition of unity

• Affine transformations $\phi(\mathbf{P}) \equiv \mathbf{A} \cdot \mathbf{P} + \mathbf{u}$







Partition of unity

Let *P* a parametric curve built this way :

$$P(u) = \sum_{0}^{n-1} P_i K_i^n(u)$$

Let's verify the invariance by a translation t:

$$P(P_i^*) = \sum_{0}^{n-1} (P_i + t) K_i^n(u) = \sum_{0}^{n-1} P_i K_i^n(u) + \sum_{0}^{n-1} t K_i^n(u)$$

= $P(u) + \sum_{0}^{n-1} t K_i^n(u)$
= $P(u) + t = P^*(P_i)$ iff $\sum_{0}^{n-1} K_i^n(u) = 1$





Partition of unity

For the other multiplicative transformations

$$P(P_i^*) = \sum_{0}^{n-1} (\mathbf{A} \cdot P_i) K_i^n(u) = \mathbf{A} \cdot \sum_{0}^{n-1} P_i K_i^n(u)$$
$$= \mathbf{A} \cdot P(u) = P^*(P_i)$$

(no particular conditions except linearity with respect to the control points coordinates)
Consequently, iff the basis functions form a *partition of unity*, and the dependence with respect to the control points is *linear*, the representation is invariant by any

affine transformation.





Bézier curves

- Some characteristics of the B. polynomials.
 - $B_i^d(u) = 0$ if i < 0 or i > d
 - $B_i^d(0) = \delta_{i0}$ and $B_i^d(1) = \delta_{id}$
 - $B_i^d(u)$ has a root of multiplicity *i* for u=0
 - $B_i^d(u)$ has a root of multiplicity *d*-*i* for u=1
 - $B_i^d(u) \ge 0$ for $u \in [0,1]$
 - $B_i^d(1-u) = B_{d-i}^d(u)$ (symmetry of the basis)

•
$$B_i^{d} = d \left(B_{i-1}^{d-1}(u) - B_i^{d-1}(u) \right)$$

• If $i \neq 0$, $B_i^d(u)$ has a <u>unique</u> maximum at u = i/d $B_i^d(i/d) = i^i d^{-d} (d-i)^{(d-i)} {d \choose i}$





Bézier curves

• Demonstration of $B_i^{d}(u) = d\left(B_{i-1}^{d-1}(u) - B_i^{d-1}(u)\right)$







Bézier curves

Recurrence relations of Bernstein's basis

$$B_{i}^{d}(u) = (1-u)B_{i}^{d-1}(u) + uB_{i-1}^{d-1}(u)$$

$$B_{d}^{d}(u) = uB_{d-1}^{d-1}(u) \quad B_{0}^{d}(u) = (1-u)B_{0}^{d-1}(u)$$

- ... but no practical interest other than demonstrating algebraic relations (cf. following)
- These polynomials are usually not computed explicitly




Bézier curves

Demonstration of the recurrence relations

$$B_i^d(u) = \begin{pmatrix} d \\ i \end{pmatrix} u^i (1-u)^{d-i} \qquad \begin{pmatrix} d \\ i \end{pmatrix} = \begin{pmatrix} d-1 \\ i \end{pmatrix} + \begin{pmatrix} d-1 \\ i-1 \end{pmatrix}$$

$$= \binom{d-1}{i} \cdot u^{i} (1-u)^{d-i} + \binom{d-1}{i-1} \cdot u^{i} (1-u)^{d-i}$$

$$= (1-u) \cdot \binom{d-1}{i} \cdot u^{i} (1-u)^{(d-1)-i} + u \cdot \binom{d-1}{i-1} \cdot u^{(i-1)} (1-u)^{(d-1)-(i-1)}$$

$$(1-u) \cdot B_{i}^{d-1} (u)$$

$$u \cdot B_{i-1}^{d-1} (u)$$

















Bézier curves

 The characteristics of Bernstein polynomials involve that the Bézier curve

$$P(u) = \sum_{i=0}^{n} P_i B_i^d(u)$$

- interpolates P_0 et P_d ,
- is invariant by affine transformations ,
- is contained in the convex hull of its control points (because P(u) is a combination with positive coefficients of control points – also called convex combination),





Bézier curves

(following)

- is variation diminishing : the curve has less inflexion points (wiggles) than there are undulations of the characteristic polynomial (proof by the fact that a Bézier curve is obtained by recursive subdivision, see further),
- delimits a closed convex domain if the control polygon itself is convex and closed...,
- Its length is smaller than that of the control polygon.





- Same examples as shown earlier on Lagrange interpolation
 - Circle with an increasing number of points
 - Perturbation of the control points



•



































- When the number of control points increases, the curve tends to the control polygon (under the assumption that the control polygon itself converges to a smooth curve ...)
- The approximation involves a substantial error between the curve and the control points
 - However, an interpolation is not the objective here...





- Perturbation of a point
 - We shift the indicated point



























- Editing Bézier curves
 - Degree elevation
 - Computation of points on the curve (De Casteljau's algorithm and others)
 - Changing the range of a curve
 - Cutting, extension
 - Curves defined by pieces and recursive subdivision





- Degree elevation
 - A curve of degree d+1 is able to represent any curve of degree d
 - If there aren't enough control points to design a given shape, the degree may be increased...
 - New control points must be determined (one more !)
 - Forrest's equations [1972]

$$Q_{0} = P_{0}$$

$$Q_{i} = \frac{i}{d+1} P_{i-1} + \left(1 - \frac{i}{d+1}\right) P_{i} \text{ for } i = 1, \cdots, d$$

$$Q_{d+1} = P_{d}$$





Bézier curves

• Demonstration of Forrest's equations : $P(u) = \sum_{i=0}^{n} P_i B_i^d(u)$ $Q(u) = \sum_{i=0}^{n} Q_i B_i^{d+1}(u)$ Q(u) = P(u) $\forall u \in [0,1]$ $Q_i = f(P_0 \dots P_d)$

• Let's express $B_i^d(u)$ in function of $B_i^{d+1}(u)$

$$B_{i}^{d}(u) = \binom{d}{i} u^{i} (1-u)^{d-i}$$

$$B_{i}^{d+1}(u) = \binom{d+1}{i} u^{i} (1-u)^{d-i+1} = (1-u) \frac{\binom{d+1}{i}}{\binom{d}{i}} B_{i}^{d}(u)$$





Bézier curves

$$B_{i+1}^{d+1}(u) = \binom{d+1}{i+1} u^{i+1} (1-u)^{d-i} = u \frac{\binom{d+1}{i+1}}{\binom{d}{i}} B_i^d(u)$$

We replace the terms of the binomial

$$\binom{d}{i} = \frac{d!}{(d-i)!\,i!}$$

$$\binom{d+1}{i} = \frac{(d+1)!}{(d+1-i)!i!} = \binom{d}{i} \frac{d+1}{d+1-i} \\ \binom{d+1}{i+1} = \frac{(d+1)!}{(d-i)!(i+1)!} = \binom{d}{i} \frac{d+1}{i+1}$$

 $B_i^{d+1}(u) = (1-u)\frac{d+1}{d+1-i}B_i^d(u) \qquad B_{i+1}^{d+1}(u) = u\frac{d+1}{i+1}B_i^d(u)$





Bézier curves

• We split up $B_i^d(u) \longrightarrow B_i^d(u) = (1-u) B_i^d(u) + u B_i^d(u)$

 $B_i^d(u) = \frac{d+1-i}{d+1} B_i^{d+1}(u) + \frac{i+1}{d+1} B_{i+1}^{d+1}(u)$

$$Q(u) = P(u) \Rightarrow \sum_{i=0}^{d+1} Q_i B_i^{d+1}(u) = \sum_{i=0}^{d} P_i \left(\frac{d+1-i}{d+1} B_i^{d+1}(u) + \frac{i+1}{d+1} B_{i+1}^{d+1}(u) \right)$$

$$= \sum_{i=0}^{d} P_i \left(1 - \frac{i}{d+1} \right) B_i^{d+1}(u) + \sum_{i=1}^{d+1} P_{i-1} \frac{i}{d+1} B_i^{d+1}(u)$$

$$= \sum_{i=1}^{d} \left(P_i \left(1 - \frac{i}{d+1} \right) + P_{i-1} \frac{i}{d+1} \right) B_i^{d+1}(u) + P_0 B_0^{d+1}(u) + P_d B_{d+1}^{d+1}(u)$$

$$Q_i \qquad \text{OED} \qquad Q_0 \qquad Q_{d+1} \qquad 103$$





Bézier curves

Degree elevation in practice ...







Bézier curves







Bézier curves







Bézier curves







Bézier curves







Bézier curves









- De Casteljau's algorithm
 - Allows the robust construction of points on the curve
 - Very simple geometrical interpretation





- Principle of De Casteljau's algorithm
 - Construction of the centroids P_i^1 of the control points P_i^0 : $P_i^1 = (1-u)P_i^0 + uP_{i+1}^0$
 - We continue with P_i^2
 - As far as possible, until only one control point remains, That control point is *P*(*u*).













Bézier curves

The algorithm is :

Initialization of P_i^0 For *j* from 1 to d^i For *i* from 0 to d-*j* $P_i^j = (1-u) P_i^{j-1} + u P_{i+1}^{j-1}$ EndFor EndFor P_0^d is the point we want.

- What is its complexity ?
 - Consists of 3d(d+1) multiplications and 3d(d+1)/2 additions, so quadratic with respect of the the degree d.





Bézier curves

• Demonstration with the help of recurrence relations $P(u) = \sum_{i=0}^{d} P_i B_i^d(u) \qquad \begin{array}{c} B_i^d(u) = (1-u) B_i^{d-1}(u) + u B_{i-1}^{d-1}(u) \\ B_d^d(u) = u B_{d-1}^{d-1}(u) & B_0^d(u) = (1-u) B_0^{d-1}(u) \end{array}$

$$P(u) = \sum_{i=1} P_i((1-u)B_i^{d-1}(u)+uB_{i-1}^{d-1}(u)) +P_0(1-u)B_0^{d-1}(u)+P_duB_{d-1}^{d-1}(u)$$

By gathering the terms $B_i^{d-1}(u)$: $P(u) = \sum_{i=0}^{d-1} [(1-u)P_i + uP_{i+1}] B_i^{d-1}(u)$ by setting $P_i^1 = (1-u)P_i^0 + uP_{i+1}^0 \longrightarrow P(u) = \sum_{i=0}^{d-1} P_i^1 B_i^{d-1}(u)$





Bézier curves

• We do it again with $P(u) = \sum_{i=0}^{d-1} P_i^1 B_i^{d-1}(u)$

$$P(u) = \sum_{i=1}^{n} P_i^1((1-u)B_i^{d-2}(u) + uB_{i-1}^{d-2}(u)) + P_0^1(1-u)B_0^{d-2}(u) + P_{d-1}^1uB_{d-2}^{d-2}(u)$$

$$P(u) = \sum_{i=0}^{d-2} \left[(1-u) P_i^1 + u P_{i+1}^1 \right] B_i^{d-2}(u)$$

$$P(u) = \sum_{i=0}^{d-2} P_i^2 B_i^{d-2}(u)$$

$$P(u) = P_0^d B_0^0(u) , B_0^0(u) \equiv 1$$
 QED





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Bézier curves

Evaluation with Horner's method

We rewrite the polynomials to a monomial form

$$P(u) = \sum_{i=0}^{d} P_{i} B_{i}^{d}(u) = \sum_{i=0}^{d} a_{i} u^{i}$$

$$a_{i} = \binom{d}{i} \sum_{j=0}^{i} (-1)^{i-j} \binom{i}{j} P_{j}$$

$$(a_{i}) = M_{h}(P_{i}) \qquad M_{h} = \begin{vmatrix} 1 & 0 & 0 & \cdots & 0 \\ -d & d & 0 & \cdots & 0 \\ \frac{-d}{2} & -d(d-1) & \frac{d(d-1)}{2} & \cdots & 0 \\ \vdots & & & \vdots \\ -1^{d-1}d & d(d-1) & \cdots & \cdots & 0 \\ -1^{d} & -1^{d-1}d & -1^{d-2}\frac{d(d-1)}{2} & \cdots & 1 \end{vmatrix}$$





- Complexity of Horner's method :
 - 3*d* multiplications + 3*d* additions ... without taking into account the change of polynomial basis (to be done only once) $(a_i) = M_h(P_i)$
 - But : change of the internal representation
 - Some authors have shown that numerical errors introduced during the change of internal representation are substantial.





- Method based on Bernstein polynomials
 - We factor the terms $(1-u)^{d-i}$ in $B_i^d(u) = \binom{d}{i} u^i (1-u)^{d-i}$

$$P(u) = \sum_{i=0}^{d} P_i B_i^d(u) = (1-u)^d \sum_{i=0}^{d} P_i {\binom{d}{i}} {\left(\frac{u}{1-u}\right)^i}$$

- This is a monomial form that can be evaluated with Horner's method – without any change of the internal representation (points P_i)
- But beware when $u \rightarrow 1$!!!!
 - We'd rather factor rather the terms , That gives :

$$P(u) = \sum_{i=0}^{d} P_{i} B_{i}^{d}(u) = u^{d} \sum_{i=0}^{d} P_{i} {\binom{d}{i}} {\left(\frac{1-u}{u}\right)}^{d-i}$$
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Bézier curves

• To summarize :

$$P(u) = \begin{cases} (1-u)^{d} \sum_{i=0}^{d} P_{i} {d \choose i} {\left(\frac{u}{1-u}\right)}^{i} & , \ 0 < u \le \frac{1}{2} \\ u^{d} \sum_{i=0}^{d} P_{i} {d \choose i} {\left(\frac{1-u}{u}\right)}^{d-i} & , \ \frac{1}{2} < u \le 1 \end{cases}$$

$$P(u) = (1-u)^{d} (P_{0} \quad P_{1} \quad \cdots \quad P_{d}) \begin{vmatrix} {d \choose 0} \\ {d \choose 1} \frac{u}{1-u} \\ {d \choose 2} {\left(\frac{u}{1-u}\right)}^{2} \\ \vdots \\ {d \choose d} {\left(\frac{u}{1-u}\right)}^{d}} \end{vmatrix}$$

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- Algorithmic complexity of the vectorial method
 - Requires 6*d* multiplications and 3*d* additions.
 - No change in the internal representation











Bézier curves

In practice

- The vectorial algorithm is often used to quickly compute points for display purposes
- De Casteljau's algorithm is used for increased robustness and ...
 - It allows to obtain derivatives of curve (see later...)
 - It allows interesting geometrical operations (see later ...)
 - If many points are to be computed, it may actually perform well (see later !)





- Restriction of a curve (cutting)
 - Let us compute the intersection of two curves
 - We need a independent representation of each segment
 - One wants 0<*u*<1 on each segment







Bézier curves

 Let us start from De Casteljau's geometrical construction







Bézier curves

 Let us start from De Casteljau's geometrical construction



 The control polygon of the both parts is obtained from points coming from De Casteljau's algorithm !





Bézier curves

Curve to trim







- For a curve that we want to trim :
 - 1 Compute the intersection point I_1 at $u=u_1$ with help of De Casteljau's algorithm – this gives the points P_i^j





Bézier curves

1 – Compute the intersection point I_1 – at $u=u_1$ with help of De Casteljau's algorithm – this gives the points P_i^j







- For a curve that we want to limit :
 - 1 Compute the intersection point I_1 at $u=u_1$ with help of De Casteljau's algorithm – this gives the points P_i^j
 - 2 Among these points, consider the points P_0^j : they are vertices of the characteristic polygon of the curve's restriction at the interval P_0 - I_1 , and the new parametrization is $u^*=u/u_1$





Bézier curves

• 2 – Among these points, consider the points P_0^j : they are vertices of the characteristic polygon of the curve's restriction at the interval P_0 - I_1 , and the new parametrization is $u^* = u/u_1$







- For a curve that we want to limit :
 - 1 Compute the intersection point I_1 at $u=u_1$ with help of De Casteljau's algorithm – this gives the points P_i^j
 - 2 Among these points, consider the points P_0^{j} : they are vertices of the characteristic polygon of the curve's restriction at the interval P_0 - I_1 , and the new parametrization is $u^*=u/u_1$
 - 3 Calculate the intersection I_0 on the new curve at $u^* = u_0^*$ gives the points P_i^{*j}





Bézier curves

3 – Calculate the intersection I_0 on the new curve – at $u^* = u_0^*$ – gives the points P_i^{*j}







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Bézier curves

For a curve that we want to limit :

- 1 Compute the intersection point I_1 at $u=u_1$ with help of De Casteljau's algorithm – this gives the points P_i^j
- 2 Among these points, consider the points P_0^{j} : they are vertices of the characteristic polygon of the curve's restriction at the interval P_0 - I_1 , and the new parametrization is $u^*=u/u_1$
- 3 Calculate the intersection I_0 on the new curve at $u^* = u_0^*$ gives the points P_i^{*j}
- 4 Consider the points P_i^{*d} : vertices of the characteristic polygon of the curve's restriction to the interval I_0 - I_1 : new parametrization is $u'=(u^*-u^*_0)/(1-u^*_0)$





Bézier curves

4 – Consider the points P_i^{*d-i} : vertices of the characteristic polygon of the curve's restriction to the interval I_0 - I_1 : new parametrization is $u'=(u^*-u_0^*)/(1-u_0^*)$













- With the same algorithm, we can increase the parametric domain of a curve
 - Intersection with objects close but not touching the curve's extremities
 - Beware : Bézier curves are variation diminishing and convex combinations only when 0≤u≤1...



Desired cutting points





Bézier curves



Cutting for *u*=0.8





Bézier curves



Cutting for *u*=0.8...and for *u*=0.1 (at *u**=0.1/0.8)





Bézier curves



Extension for *u*=1.1





Bézier curves



Extension for u=1.1 and cutting for u=0.1 - at (new parameter) $u^*=0.1/1.1$...





- Curves defined by pieces
 - Bézier curves do have a global control
 - If we need local control, we have to assemble several of them
 - We have to impose some continuity at the interface points between curves







Bézier curves

Expression of the derivatives of a Bézier curve $\frac{dP}{du}(u) = \sum_{i=0}^{d} P_i B_i^{'d}(u) \text{ with } B_i^{'d} = d \left(B_{i-1}^{d-1}(u) - B_i^{d-1}(u) \right) = 0$ $\frac{dP}{du}(u) = d \sum_{i=0}^{d} P_i \left(B_{i-1}^{d-1}(u) - B_i^{d-1}(u) \right) = d \left(P_0 \left(B_{-1}^{d-1} - B_0^{d-1} \right) + P_1 \left(B_0^{d-1} - B_1^{d-1} \right) \right)$ $+P_{2}$ • By factoring $B_i^{d-1}(u)$: $\frac{dP}{du}(u) = d\sum_{i=0}^{d-1} (P_{i+1} - P_i) B_i^{d-1}(u)$ $+P_d(B_{d-1}^{d-1}-B_d^{d-1})\Big)$





Bézier curves

First derivative

$$\frac{dP}{du}(u) = d \sum_{i=0}^{d-1} (P_{i+1} - P_i) B_i^{d-1}(u)$$
$$\frac{dP}{du}(u) = d \sum_{i=0}^{d-1} P_i' B_i^{d-1}(u)$$

 The control points P'₀ and P'_{d-1} are interpolated so the first derivative at the extremities only depends

on the **two first** (resp. last) control points



dP

du

First hodograph

-(u)

P'

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P'

Bézier curves

• Second derivative = derivative of the derivative $\frac{1}{d} \frac{dP}{d} \frac{dP}$

$$\frac{d^{2}P}{du^{2}}(u) = (d-1)d\sum_{i=0}^{d-2} (P'_{i+1} - P'_{i})B_{i}^{d-2}(u)$$

$$\frac{d^{2}P}{du^{2}}(u) = (d-1)d\sum_{i=0}^{d-2} P''_{i}B_{i}^{d-2}(u)$$

• The control points P''_0 and P''_{d-2} ... are interpolated, so the second derivative at the extremities only depends on **the three first** (resp. last) control points $O = \frac{1}{d(d-1)} \frac{d^2 P}{du^2} (u)$

Second hodograph₆

 P'_0





Bézier curves

Derivative of order k

$$\frac{d^{k}P}{du^{k}}(u) = (d-k+1)\cdots(d-1)d\sum_{i=0}^{d-k} \left(P_{i+1}^{(k-1)} - P_{i}^{(k-1)}\right)B_{i}^{d-k}(u)$$

$$\frac{d^{k}P}{du^{k}}(u) = \prod_{l=1}^{k} (d-l+1) \sum_{i=0}^{d-k} P_{i}^{(k)} B_{i}^{d-k}(u) , \quad P_{i}^{(k)} = (P_{i+1}^{(k-1)} - P_{i}^{(k-1)}) \\ = (P_{i+2}^{(k-2)} - 2P_{i+1}^{(k-2)} + P_{i}^{(k-2)})$$

- The derivative of order k at the extremities only depends on the $=\sum_{j=0}^{k} (-1)^{j} {d \choose j} P_{j+i}^{(0)}$ k+1 first (resp. last) control points.
- Of course, there must be enough control points ...
 (k < d+1)











- Connecting two curves is the same as imposing constraints on the control points on both sides of the « sticking » point
 - We assume that the curves are regular
- G_0 continuity (positions) (same as C_0 continuity)







- G_1 continuity (C_1 continuity is more strict)
- Minimum degree : 2 $P_{d-1}P_d = \alpha P_0^* P_1^*$, $\alpha > 0$ ($\alpha = 1$ for a C_1 continuity)







- G_2 continuity (C_2 continuity is more strict)
 - Continuity of the osculatory plane's orientation $(P_d - P_{d-1}) \times (P_{d-1} - P_{d-2}) = \gamma (P_2^* - P_1^*) \times (P_1^* - P_0^*)$



$$\frac{d \left\| P_{d-1} - P_{d-2} \right\|^3}{(d-1) \left\| \left(P_d - P_{d-1} \right) \times \left(P_{d-1} - P_{d-2} \right) \right\|} = \frac{d^* \left\| P_1^* - P_0^* \right\|^3}{(d^* - 1) \left\| \left(P_2^* - P_1^* \right) \times \left(P_1^* - P_0^* \right) \right\|}$$





- G_2 continuity (C_2 continuity is more strict)
 - Continuity of the osculatory plane's orientation $(P_d - P_{d-1}) \times (P_{d-1} - P_{d-2}) = \gamma (P_2^* - P_1^*) \times (P_1^* - P_0^*)$







- The G_k continuity with k > 2 in the general case is complex to impose
- The C_k continuity is easier to impose (simple expression of higher order derivatives)
 - Curve should be regular !
 - Same as imposing the continuity of functions x(u), y(u) and z(u), independently of each other.

$$\frac{d^{k}P}{du^{k}}(u) = \prod_{l=1}^{k} (d-l+1) \sum_{i=0}^{d-k} P_{i}^{(k)} B_{i}^{d-k}(u)$$





Bézier curves

• C_0 continuity $P_d = P_0^*$







•
$$C_1$$
 continuity $P_1^* = P_d + (P_d - P_{d-1})$






Bézier curves

•
$$C_2$$
 continuity $P_2^* = P_{d-2} + 4(P_d - P_{d-1})$







Bézier curves

• C_3 continuity $P_3^* = 8P_d - 12P_{d-1} + 6P_{d-2} - P_{d-3}$





 P^*

Computer Graphics



Bézier curves

The curve has now a unique representation of degree
 3.

 P_0





Bézier curves

- Recursive subdivision
 - Allows to draw the curve quickly with the help of De Casteljau's algorithm
 - Idea : splitting up the curve in two parts at u=0.5, then these subcurves in four parts (still for u*=0.5) and so on.
 - The control points of the sub-curves are obtained like a residual of the De Casteljau algorithm at each step
 - The control points quickly converge toward the curve
 - When the gap between the starting and ending points of each subcurves is lower than a factor (depends on the resolution), we join simply the points of the characteristic polygon by straight line segments.
 - It's a « divide and conquer » approach a famous paradigm in software engineering.







Bézier curves

- Cost of the recursive subdivision algorithm
 - $\ln O(d^2 \cdot 2^m)$ for *m* levels of subdivision
 - Number of generated points: $d \cdot 2^m$
 - For each point that is generated, the algorithm becomes linear...
 - Competitive in comparison with Horner
 - It is not very accurate, nevertheless very robust.









B-Splines

Three useful references :

R. Bartels, J.C. Beatty, B. A. Barsky, An introduction to Splines for use in Computer Graphics and Geometric Modeling, Morgan Kaufmann Publications, 1987

JC.Léon, Modélisation et construction de surfaces pour la CFAO, Hermes, 1991

L. Piegl, W. Tiller, The NURBS Book, Second Edition, Springer, 1996





- Isaac J. Schoenberg (1946)
- Carl De Boor (1972-76)
- Maurice G. Cox (1972)
- Richard Riesenfeld (1973)
- Wolfgang Boehm (1980)





- For Bézier curves, the polynomial degree is directly related to the number of control points.
 - The control of the continuity between Bézier curves is not trivial
- B-Splines are a generalization in the sense that the degree doesn't depend on the number of control points
 - One can impose every continuity at any point of the curve (we will see later how to do that)
 - They are polynomial curves, by pieces (Bézier curves have a unique polynomial representation along the interval of definition)
 - They may provide local control
 - The parametrization can be freely chosen (with Bézier, it is fixed, usually 0<u<1.)





B-Splines

• Basis of Bézier curves :

$$P(u) = \sum_{i=0} P_i B_i^d(u)$$

- The support of the basis functions is the interval [0..1]
- Continuity is C_∞, and between different Bézier curves it is enforced by a wise choice of the P_i's
- B-splines basis

$$P(u) = \sum_{i=0}^{n} P_i N_i^d(u)$$

- The basis functions N_i^d are piecewise polynomials
- Have a compact support + satisfy partition of the unity
- The continuity is defined at the basis function's level. 171





- The basis functions B-spline are defined
 - by the nodal sequence and by the polynomials degree of the curve
- Nodal sequence:
 - It is a series of values u_i (knots) of the parameter u of the curve, not strictly increasing – there can be equal values.
 - ex. U={0,0,0,1,2,3,4,4,4}





B-Splines

- Constuction of B-Spline basis functions
 - Truncated Power Function

$$(u-u_i)^d_+ = \begin{cases} (u-u_i)^d & \text{if} & u \ge \\ 0 & \text{otherwise} \end{cases}$$



• It is a function of *C*^{d-1} continuity





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B-Splines

- Divided differences
 - order one (similar to a simple derivative)

$$[u_i, u_{i+1}]_U f(U) = \frac{f(u_{i+1}) - f(u_i)}{u_{i+1} - u_i}$$

U is a hidden parameter
(variable used to differentiate)

• order 2 : application of the above formula twice...

$$[u_{i}, u_{i+1}, u_{i+2}]_{U} f(U) = \frac{[u_{i+1}, u_{i+2}]_{U} f(U) - [u_{i}, u_{i+1}]_{U} f(U)}{u_{i+2} - u_{i}}$$

$$[u_{i}, u_{i+1}, u_{i+2}]_{U} f(U) = \frac{\frac{f(u_{i+2}) - f(u_{i+1})}{u_{i+2} - u_{i+1}} - \frac{f(u_{i+1}) - f(u_{i})}{u_{i+1} - u_{i}}}{u_{i+2} - u_{i}}$$





B-Splines

• At the order *k*

$$[u_{i}, \cdots, u_{i+k}]f = \frac{[u_{i+1}, \cdots, u_{i+k}]f - [u_{i}, \cdots, u_{i+k-1}]f}{u_{i+k} - u_{i}}$$

- One assumed that $u_i \neq u_{i+1} \neq u_{i+2} \cdots$
- Properties (see Bartels, 1987)

1- In the case where
$$u_i = u_{i+1} = u_{i+2} \cdots$$

 $\begin{bmatrix} u_i, \cdots, u_{i+k} \end{bmatrix} f = \frac{1}{k!} \frac{d^k f}{d u^k} \Big|_{u=u_i}$
2- if $u_i \neq u_{i+1} \neq u_{i+2} \cdots$ and $u_i < u_{i+1} < u_{i+2} \cdots$
 $\begin{bmatrix} u_i, \cdots, u_{i+k} \end{bmatrix} f = \frac{1}{k!} \frac{d^k f}{d u^k} \Big|_{u=u^*}, u_i < u^* < u_{i+k}$





- 3- $[u_i, \cdots, u_{i+k}]f$ is symmetric with respect to the knot vector
- 4- If f(u) is a polynomial of degree at the most equal to k , then $\big[\,u_i\,,\cdots,\,u_{i+\,k}\,\big]\,f$
- is a constant with respect to the u_i .
- 5- The divided difference of f=g(u).h(u) is : $[u_i, \dots, u_{i+k}]f = \sum_{j=i}^{j=i+k} ([u_i, \dots, u_j]g) \cdot ([u_j, \dots, u_{i+k}]h)$



 \overline{u}_2

 u_3

 u_4

 u_1

 u_0

Computer Graphics



B-Splines

Divided differences and B-Splines $(u - u_k)_{+}^2$ $(u-u_0)^2_+$ u_{0} u_1 u_{3} u_{Λ} u_{k} u_{2} How to cancel quadratic terms ? \rightarrow subtract adjacent truncated power functions. $(u - u_k)^2_+$ $(u-u_1)^2_+-(u-u_0)^2_+$ last term remains

*u*_{*k*-1}

 u_{k}

 $(u-u_k)_+^2 - (u-u_{k-1})_+^2$





1

B-Splines

Problem, lower order terms are dependent on k

$$(u-u_{k})_{+}^{2}-(u-u_{k-1})_{+}^{2}|_{u>u_{k}}=0\cdot u^{2}+(u_{k}-u_{k-1})\cdot u+(u_{k}-u_{k-1})(u_{k}+u_{k-1})\cdot 1$$
But, dividing by $(u_{k}-u_{k-1})$ yields a divided difference :

$$\frac{(u-u_{k})_{+}^{2}-(u-u_{k-1})_{+}^{2}}{u_{k}-u_{k-1}}=[u_{k-1},u_{k}]_{U}(u-U)_{+}^{2}$$
last term remains
 $u_{0},u_{1}|_{U}(u-U)_{+}^{2}$
last term remains
 $u_{0},u_{1}|_{U}(u-U)_{+}^{2}$
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B-Splines



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B-Splines

Again, lower order terms are dependent on k

$$[u_{k-1}, u_{k-2}]_U (u-U)_+^2 - [u_k, u_{k-1}]_U (u-U)_+^2 |_{u>u_k}$$

= 0 \cdot u + ((u_k+u_{k-1}) - (u_{k-1}+u_{k-2})) \cdot 1 = (u_k-u_{k-2}) \cdot 1





 u_0

U

Computer Graphics



B-Splines

Now, cancel constant terms …



 u_{k-1}

. k-2 u_{k}

 $[u_{k-1}, u_k]_U (u-U)_+^2$





B-Splines

• There are no lower order terms. However we might divide anyway by $(u_k - u_{k-3})$ to remain consistent and get again an expression as a divided difference...

$$[u_{k-2}, u_{k-1}, u_k]_U (u-U)_+^2 - [u_{k-3}, u_{k-2}, u_{k-1}]_U (u-U)_+^2$$

$$u_{k} - u_{k-3}$$
= $[u_{k-3}, u_{k-2}, u_{k-1}, u_{k}]_{U}(u - U)_{+}^{2}$







- The sign is alternating with the degree. Shape function of even degree are negative, while SF of uneven degree are negative.
- Multiplying by $(-1)^{d+1}$ makes every SF positive.
- To ensure that the SF form a partition of unity , we have to multiply again by $(u_{i+d+1}-u_i)$
- The compact representation of the B-Splines basis functions of degree *d* with the use of divided differences is therefore :

$$N_i^d = (-1)^{d+1} (u_{i+d+1} - u_i) [u_i, \cdots, u_{i+d+1}]_U (u - U)_+^d$$





- Proof of the partition of unity : consider the second last operation (the cancellation of constant terms)
 - We subtract consecutive terms to form the final shape functions
 - Partition of unity means the sum of all the final shape functions is equal to 1... that this is indeed the case only on a certain range of *u*.







B-Splines

- Recursive definition of basis functions
 - Setting $U = \{u_0, \dots, u_m\}$, $u_i \le u_{i+1}$, $i = 0 \dots m-1$ (nodal sequence)
 - The functions are such as : (recurrence formula of Cox de Boor) $N_{i}^{0}(u) = \begin{cases} 1 & \text{if} & u_{i} \leq u < u_{i+1} \\ 0 & \text{otherwise} \end{cases}$ $N_{i}^{d}(u) = \frac{u - u_{i}}{u_{i+d} - u_{i}} N_{i}^{d-1}(u) + \frac{u_{i+d+1} - u}{u_{i+d+1} - u_{i+1}} N_{i+1}^{d-1}(u)$ Where $u_{i+d} - u_{i}^{=0}$, necessarily $N_{i}^{d-1}(u) \equiv 0$

By convention, we set in this case $\frac{0}{0} = 0$ when the limit is undefined.





B-Splines

Example : computation of basis functions of degree $d \le 2$ for $U = \{u_0 = 0, u_1 = 0, u_2 = 0, u_3 = 1, u_4 = 1, u_5 = 1\}$ $> N_0^1(u) = \frac{u - u_0}{u_1 - u_0} N_0^0(u) + \frac{u_2 - u_1}{u_2 - u_1} N_1^0(u) - \frac{0}{0} = 0$ by convention $N_{0}^{0} = 0$ $0 \le u < 1$ $0 = \begin{cases} u & 0 \le u < 1 \\ 0 & 0 \end{cases}$ $N_{2}^{1} = \begin{cases} u & 0 \le u < 1 \\ 0 & 0 \end{cases}$ $N_{2}^{1} = \begin{cases} u & 0 \le u < 1 \\ 0 & 0 \end{cases}$ $V_{2}^{1} = \begin{cases} u & 0 \le u < 1 \\ 0 & 0 \end{cases}$ $N_0^1 = 0$ $N_{1}^{0}=0$ $N_2^0 = \begin{cases} 1 \\ 0 \end{cases}$ $N_2^2 = \begin{cases} u^2 & 0 \le u < 1 \\ 0 & \text{otherwise} \end{cases}$ $N_{3}^{0}=0$ $\rightarrow N_{2}^{1} =$ $N_{4}^{0}=0$ Bernstein polynomials of degree 2 194





- The Bernstein polynomials of degree d are a particular case of the B-splines basis
 - They correspond to a nodal sequence

$$U_B = \{u_0 = 0, \dots, u_d = 0, u_{d+1} = 1, \dots, u_{2d+1} = 1\}$$

- Bézier curves are therefore a particular case of Bsplines.
- It is also possible to transform any B-spline into a sequence of Bézier curves – because the Bernstein polynomials form a complete basis of polynomials of degree d.





B-Splines

- Basis functions and control points
 - In contrary to Bézier curves, the number of control points is not imposed by the degree d
 - Let *m*+1 the number of knots. We have *n*+1 independent basis functions at our hands

• For every basis function, we associate a control point
$$P(u) = \sum_{i=0}^{n} P_i N_i^d(u)$$

• The number of control points is fixed by the relation n+1=m-d





B-Splines

Types of nodal sequence...

- Uniform The gap between two successive knots is constant $U = \{u_0, u_1, \cdots, u_{m-d-1}\}$, $u_{i+1} u_i = k$
- Periodic The gap between the knots at the start of a nodal sequence is identical to the one at the end of the nodal sequence $U = \{\underbrace{u_0, \cdots, u_d}_{d+1}, \underbrace{u_{d+1}, \cdots, u_{m-d-1}}_{d+1}, \underbrace{u'_0, \cdots, u'_d}_{d+1}\}$, $u'_i u_i = k$
- Non uniform, interpolating first and last control point are interpolated

$$U = \{\underbrace{a, \cdots, a}_{d+1}, u_{d+1}, \cdots, u_{m-d-1}, \underbrace{b, \cdots, b}_{d+1}\}$$

In the sequel, except where indicated, we consider non uniform nodal sequences interpolating the first and last control points.





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- Properties of B-spline basis functions
 - $N_i^d(u) = 0$ outside the interval $[u_i, u_{i+d+1}]$
 - Inside the interval $[u_i, u_{i+1}]$, at most d+1 functions $N^d_*(u)$ are non zero : N^d_{i-d}, \cdots, N^d_i
 - $N_i^d(u) \ge 0 \quad \forall i, d \text{ and } u$ (always positive)
 - For $u \in [u_i, u_{i+1}[, \sum_{j=i-d} N_j^d(u)=1]$ (forms a partition of unity)
 - All derivatives of N^d_i(u) exist inside the interval [u_i, u_{i+1}[. At a knot, N^d_i(u) is d-k times differentiable, k being the node multiplicity.
 - Except for d=0, $N_i^d(u)$ reaches exactly one maximum






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B-Splines

 The characteristics of basis functions involve that the B-Spline curve

$$P(u) = \sum_{i=0}^{n} P_i N_i^d(u) \quad U = \{u_0, \cdots, u_m\}, \ u_i \le u_{i+1}, \ i = 0 \cdots m - 1$$

- interpolates P_0 and P_n , (only if the nodal sequence admits d+1 repetitions at the start and at the end !)
- is invariant by affine transformation ,
- is contained by the convex hull of the control points (because P(u) is a linear combination of the control points with positive coefficients which sum to one)





B-Splines

(Following)

- Is variation diminishing : The number of inflexion points is lower than the number of wiggles of the characteristic polygon
- Is closed and convex if the characteristic polygon is closed and convex,
- Is of length shorter or equal than that of the control polygon.
- Is invariant by linear transformation of the nodal sequence u'=au+b, a>0





- Control points, degree and nodal sequence
 - We associate a control point for each basis function N_i^* . We have n+1 control points.
 - The degree *d* is chosen by the user.
 - The nodal sequence (that defines the intervals of the parameter on which the curve has a unique polynomial definition) is then built. We have m+1=n+d+2 knots (with d+1 repetitions at the start and at the end)
 - there remains *n*-*d* values of the parameter to set (without taking into account the boundaries)





- Geometric examples
 - Constant number of control points
 - We increase the degree
 - Uniform repartition of knots (except at the boundaries)
 - For which degree do we have the best approximation of the control points ??





B-Splines



Degree 1 0 0 0.0833333 0.166667 0.25 0.333333 0.416667 0.5 0.583333 0.6666667 0.75 0.833333 0.916667 1 1





B-Splines



degree 2 0 0 0 0.0909091 0.181818 0.272727 0.363636 0.454545 0.545455 0.636364 0.727273 0.818182 0.909091 1 1 1





B-Splines



degree 3 0 0 0 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1 1 1





B-Splines



degree 4 0 0 0 0 0 0.111111 0.222222 0.333333 0.444444 0.555556 0.6666667 0.777778 0.888889 1 1 1 1 1





B-Splines



degree 6 0 0 0 0 0 0 0 0.142857 0.285714 0.428571 0.571429 0.714286 0.857143 1 1 1 1 1 1 1





B-Splines







B-Splines







- Impose interpolation points (and C_0 continuity)
 - It is the same as positioning knots of multiplicity d in the nodal sequence
 - One could also repeat d control points...(not shown here)



degree 3 0 0 0 0 0.1 0.2 0.5 0.5 0.5 0.7 0.9 0.9 0.9 1 1 1 1



degree 3 (4 Bézier curves of continuity C_0) 0 0 0 0 0.1 0.1 0.1 0.5 0.5 0.5 0.9 0.9 0.9 1 1 1 1



degree 3 (3 Bézier curves of continuity C_0 + 1 bspline deg 3 with 4control pts) 0 0 0 0 0.1 0.1 0.1 0.4 0.4 0.4 0.8 0.8 0.8 0.9 1 1 1 1





- And if we want to impose interpolation points **and** a certain continuity C_k ?
 - Add / align control points in a similar way than in the case of Bézier curves.













- Periodic curves
 - They may be represented by modifying the nodal sequence and by repeating some control points.







B-Splines

non uniform nodal sequence interpolating the first and last control points.



degree 3 0 0 0 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1 1 1





B-Splines

Periodic nodal sequence (but control points located in a non adequate way)



degree 3 -0.3 -0.2 -0.1 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1.1 1.2 1.3





B-Splines



degree 3 -0.3 -0.2 -0.1 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1.1 1.2 1.3







degree 3 -0.3 -0.2 -0.1 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1.1 1.2 1.3





B-Splines

Periodic nodal sequence

+ control points placed in an adequate way (repeated)

= periodic curve



degree 3 -0.3 -0.2 -0.1 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 1 1.1 1.2 1.3





- Algorithms for the manipulation of B-Splines curves
 - Boehm's knot insertion algorithm
 - Evaluation of the curve (Cox-de Boor algorithm)
 - Derivatives and hodographs
 - Restriction/growth of the useful interval of a curve
 - Degree elevation
 - Recursive Subdivision





B-Splines

Boehm's knot insertion algorithm

The idea is to determine a new control polygon for the same curve after the insertion of one or several knots in the nodal sequence.

The curve is not modified by this change : neither the shape nor the parametrization are affected.

Interest :

- Evaluation of points on the curve
- Subdivision of the curve
- Addition of control points





B-Splines

• Let $P(u) = \sum_{i=0}^{n} P_i N_i^d(u)$ a B-Spline curve built on the nodal sequence : $U = \{u_0, \dots, u_m\}$

• Let $\overline{u} \in [u_k, u_{k+1}]$ a knot to be inserted

• The new nodal sequence is :

$$\overline{U} = \{\overline{u}_0 = u_0, \cdots, \overline{u}_k = u_k, \overline{u}_{k+1} = \overline{u}, \cdots, \overline{u}_{m+1} = u_m\}$$

The new representation of the curve is :

$$P(u) = \sum_{i=0}^{n+1} Q_i \bar{N}_i^d(u)$$

- The $\bar{N}_i^d(u)$ are the basis functions defined on \bar{U} , the Q_i are the n+2 new control points.
- How define the Q_i so that the shape is unchanged ?





B-Splines

After algebraic manipulations... we obtain

$$Q_{k-d} = P_{k-d}$$

$$Q_{i} = \alpha_{i} P_{i} + (1 - \alpha_{i}) P_{i-1} \text{ for } i \in \{k - d + 1, \dots, k\}$$

$$Q_{k+1} = P_{k}$$

We had:
$$P_i = Q_i$$
 for $i \in \{0, \dots, k - d - 1\}$
 $P_i = Q_{i+1}$ for $i \in \{k+1, \dots, n\}$

so finally :

$$Q_{i} = \alpha_{i} P_{i} + (1 - \alpha_{i}) P_{i-1} \quad \text{with } \alpha_{i} = \begin{cases} 1 & i \le k - d \\ \frac{\overline{u} - u_{i}}{u_{i+p} - u_{i}} & k - d + 1 \le i \le k \\ 0 & i \ge k + 1 \end{cases}$$





- Multiple knot insertions
 - Assume $\overline{u} \in [u_k, u_{k+1}]$ of multiplicity $s (0 \le s < d)$. We want to insert it r times with $r+s \le d$.
 - We note Q_i^r the control points of the *r*-th insertion step
 - We have then :

$$Q_{i}^{r} = \alpha_{i}^{r} Q_{i}^{r-1} + (1 - \alpha_{i}^{r}) Q_{i-1}^{r-1} \quad \text{with} \ \alpha_{i}^{r} = \begin{cases} 1 & i \le k - d + r - 1 \\ \frac{\overline{u} - u_{i}}{u_{i+d-r+1} - u_{i}} & k - d + r \le i \le k - s \\ 0 & i \ge k - s + 1 \end{cases}$$





B-Splines

• The *Q*'s can be put in a table:



 The total number of new control points is *d*-*s*+*r*-1 that replace *d*-*s*-1.





B-Splines

 The use of the algorithm of node insertion up to multiplicity of *d*=*r*+*s* is such that the curve will interpolate the last control point that is computed.



- Therefore, one can use this algorithm to compute the position of a point of the curve knowing the parameter.
 - It's precisely Cox-de Boor's algorithm. The sequence of points P^j_i is not anything else than the Q^j_i indicated on the graph, cf following





- Case r+s=d+1: We carry out the insertion of multiplicity r-1 then we insert one more knot to « cut » the B-spline curve in two independent parts.
- The last control point Q_k^d has to be duplicated.
- Allows to extract a portion of the B-spline.
- There exists an extension of this algorithm in the case of the simultaneous insertion of many knots: it is the somewhat more complex "Oslo" algorithm*

^{*} E. Cohen, T. Lyche, R. Riesenfeld "Discrete B-splines ans subdivision techniques in computer-aided geometric design and computer graphics", *Computer Graphics and Image Processing*, **14**(2):87-111, 1980.





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1\}$ degree 5




B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.2, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.2, 0.4, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0.2, 0.4, 0.6, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0.2, 0.4, 0.6, 0.8, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.1, 0.2, \dots, 0.9, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0.05, 0.1, \dots, 0.95, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.05, 0.1, \dots, 0.95, 1, 1, 1, 1, 1, 1\}$ degree 5 Local control...





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.3, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0, 0.3, 0.3, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0.3, 0.3, 0.3, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines



 $U = \{0, 0, 0, 0, 0, 0, 0.3, 0.3, 0.3, 0.3, 1, 1, 1, 1, 1, 1\}$ degree 5





B-Splines







B-Splines







B-Splines

- Computation of a point on a B-Spline curve
 - By the use of basis functions
 - 1 Find the nodal interval in which u is located $u \in [u_i, u_{i+1}]$
 - 2 Calculate the non vanishing basis functions $N_{i-d}^{d}(u), \cdots, N_{i}^{d}(u)$
 - 3 Multiply the values of these basis functions with the right control points

$$P(u) = \sum_{k} N_{k}^{d}(u) P_{k} \quad i - d \leq k \leq i$$

By Cox-de Boor's algorithm





B-Splines

(simplified) Cox-de Boor's Algorithm :

Determine the interval of $u: u \in [u_i, u_{i+1}[$ Initialization of P_j^0 $i \in \{d, d+1, \cdots, m-d-1\}$ For *k* from 1 to *d* For *j* from i to i-d+k $P_{j}^{k} = \left(\frac{u - u_{j}}{u_{j+d+1-k} - u_{j}}\right) P_{j}^{k-1} + \left(\frac{u_{j+d+1-k} - u_{j}}{u_{j+d+1-k} - u_{j}}\right) P_{j-1}^{k-1}$ Endfor Endfor P_{i}^{d} is the point that is sought.

- What is its complexity ?
 - quadratic in function of the degree *d*.





B-Splines

Example of computation $P_0^0 = (0, 1) P_1^0 = (2, 3) P_2^0 = (5, 4) P_3^0 = (7, 1) P_4^0 = (6, -1) P_5^0 = (6, -2)$ $U = \{0, 0, 0, 0, 1, 2, 3, 3, 3, 3\}$ d = 3 u = 3/2Determination of the interval $P_{j}^{k} = \left(\frac{u - u_{j}}{u_{j+d+1-k} - u_{j}}\right) P_{j}^{k-1} + \left(\frac{u_{j+d+1-k} - u_{j}}{u_{j+d+1-k} - u_{j}}\right) P_{j-1}^{k-1}$ $1 \le 3/2 < 2$, $u_4 = 1 \rightarrow i = 4$ Iteration 1 $P_4^1 = (27/4, 1/2) P_3^1 = (6, 5/2) P_2^1 = (17/4, 15/5)$ Iteration 2 $P_{4}^{2} = (99/16, 2) P_{3}^{2} = (89/16, 45/16)$ Iteration 3 $\mathbf{S}_{\mathbf{0}}$ $P_{4}^{3} = (47/8, 77/32) = P(3/2)$ 0

JC Leon





B-Splines

- The algorithm is similar to De Casteljau's algorithm for Bézier curves
 - It is built on a restriction of the set of control points (d+1 points)
 - On this restriction, it is identical, except for the coefficients related to the nodal sequence (which is potentially non uniform)
 - The complete algorithm is somewhat longer than this one (possibility to have 0/0: we set conventionally 0/0 = 0!)





B-Splines

- Transformation of a B-Spline curve into a composite Bézier curve
 - We saturate each distinct knot until its multiplicity is equal to *d*.
 - This is made with the help of Boehm's algorithm of nodal insertion.
 - The curve is not modified !
 - We obtain a nodal sequence which has the following form :

$$U = \{\underbrace{a, a, a, a}_{d+1 \text{ times}}, \underbrace{b, b, b}_{d \text{ times}}, \underbrace{c, c, c}_{d \text{ times}}, \cdots, \underbrace{z, z, z, z}_{d+1 \text{ times}}\}$$

Each distinct value of *u* corresponds to one of the points of the curve.





B-Splines



 $U = \{0, 0, 0, 0, 1, 2, 3, 3, 3, 3\}$

degree 3





B-Splines



 $U = \{0, 0, 0, 0, 1, 1, 2, 3, 3, 3, 3\}$





B-Splines



 $U = \{0, 0, 0, 0, 1, 1, 1, 2, 3, 3, 3, 3\}$





B-Splines



 $U = \{0, 0, 0, 0, 1, 1, 1, 2, 2, 3, 3, 3, 3\}$





B-Splines



 $U = \{0, 0, 0, 0, 1, 1, 1, 2, 2, 2, 3, 3, 3, 3\}$





B-Splines



Bézier n°3Bézier n°2Bézier n°14 CP4 CP4 CPdegree 3degree 3degree 3





B-Splines

- Some conclusions
 - Flexibility
 - Low order
 - Continuity
 - Periodic curves
 - Conics ?